

**WESTCHESTER COUNTY
DEPARTMENT OF PUBLIC WORKS AND TRANSPORTATION
2016-2018 PROPOSED DISADVANTAGED BUSINESS ENTERPRISE
(DBE) GOAL SUBMISSION TO THE FEDERAL TRANSIT ADMINISTRATION
REVISED GOAL
November 18, 2015**

Overall Goal

The Westchester County Department of Public Works and Transportation's (DPW&T) overall DBE goal for fiscal years 2016, 2017 and 2018 is **3.96%** of the financial assistance we will expend in Federal Transit Administration (FTA) assisted contracts and other services related to the Westchester County Bee-Line bus system.

Proposed Program of Projects

The Westchester County DPW&T's proposed DBE eligible FTA-assisted contracts for Federal Fiscal years 2016, 2017 and 2018, are as follows:

<u>Proposed Project</u>	<u>Estimated Cost</u>	<u>Proposed Year</u>
1) Reconstruct Floor, Bus Rebuild Area – Central Maint. Facility	\$625,000	2016
2) Passenger Survey	\$140,000	2016
3) Improvements to White Plains TransCenter	\$400,000	2016
4) Clean and Paint the Ceiling - Central Maintenance Facility	<u>\$1,000,000</u>	2018
	<u>\$2,165,000</u>	

In addition, it is anticipated that the following goods and services will be provided directly to our contracted operator, Liberty Lines Inc.:

<u>Service/Product</u>	<u>Estimated Cost</u>	<u>Proposed Years</u>	<u>Est. Cost</u>
1) Graphics/Bus Decals	\$15,000	2016-2018	\$45,000
2) Sewer and Drain Maintenance	\$2,000	2016-2018	\$6,000
3) Security Services	\$10,000	2016-2018	\$30,000
4) Claims Processing	\$50,000	2016-2018	\$150,000
5) Cleaning Services	\$125,000	2016-2018	<u>\$375,000</u>
			<u>\$606,000</u>

The total amount of FTA assisted contracts WCDPW&T expects to let during fiscal years 2016, 2017 and 2018 is estimated at approximately \$2,165,000. The total amount of services/goods secured through Liberty Lines during fiscal years 2016, 2017 and 2018 is estimated at approximately \$606,000 for a total of \$2,771,000.

METHOD

The following is a summary of the method used to calculate this goal:

Step One - Base Figure Calculation

The method used to calculate the relative availability of DBEs was based on the available DBE lists (New York State UCP Directory) and US Census Bureau Data (2013 County Business Patterns-North American Industry Classification System). Information from both lists was gathered from the following counties in New York: Bronx, Dutchess, Kings, Nassau, New York, Orange, Putnam, Rockland, Queens, Richmond, Suffolk, Sullivan, Ulster and Westchester.

For an analysis of all available businesses the following NAIC codes were used:

DPW&T Projects	
238330	Flooring Contractors
238320	Painting and Wall Covering Contractors
238110	Poured Concrete Product
339950	Sign Manufacturing
238210	Electric Contractors
518210	Data Processing
541618	Other Management Consultant Services
Liberty Lines	
561612	Security and Patrol Services
238220	Plumbing, Heating and HVAC Services
524291	Claims Adjusters
811121	Automotive Body, Paint & Interior Repair and Maint.
561720	Janitorial Services

A "base figure" was calculated by comparing the number of ready willing and able DBE firms (UCP data) and the number of all firms (Census data) for the 2016, 2017 and 2018 program of projects.

READY, WILLING AND ABLE DBES	252
ALL FIRMS	18,799

Relative Availability of DBEs for the Bee-Line = 1.34%

Base Figure Weighting

The "base figure" was adjusted by weighting the availability of DBE firms to the specific projects that are proposed over the next three years. As a result the overall goal is **3.96%**. Please see below for a detailed description of the weighting methodology.

Total Funding Percentage by NAICS Code

The following chart shows the percentage of funding proposed to be spent by NAICS code.

Project	Estimated Year	NAICS CODE	Classification	Estimated Cost	Percent of Total Program Cost (rounded)
Repair & Resurface Bus Rebuild Floor	2016	238330	Flooring Contractors	\$625,000	23.2%
Clean/Paint Ceiling – CMF	2018	238320	Painting and Wall Covering Contractors	\$1,000,000	36.2%
Passenger Survey	2016	541618	Other Consultant Services	\$120,000	4.4%
Passenger Survey	2016	518210	Data Processing	\$20,000	0.6%
TransCenter Improvements	2016	238110	Poured Concrete	\$380,000	13.7%

TransCenter Improvements	2016	339950	Sign Manufacturing	\$5,000	0.1%
TransCenter Improvements	2016	238210	Electrical Contractor	\$15,000	0.5%
Service/Product					
Graphics/Bus Decals	2016-18	811121	Automotive Body, Paint & Interior Repair - Maint.	\$45,000	1.5%
Sewer Maintenance	2016-18	238220	Plumbing, Heating and HVAC Services	\$6,000	0.2%
Security Services	2016-18	561612	Security and Patrol Services	\$30,000	1.0%
Claims Processing	2016-18	524291	Claims Adjusters	\$150,000	5.0%
Cleaning Services	2016-18	561720	Janitorial Services	\$375,000	13.6%
			TOTAL COST	\$2,771,000	100%

Relative Availability of DBEs in the Market area by NAICS Code

The following chart shows the percentage of DBE firms present in the market area for each relevant NAICS code.

NAICS CODE	Classification	Number of All Firms	Number of DBE Firms	Relative Availability	Percent of Total	Weighted Percentage	Race Neutral/Conscious
238330	Flooring Contractors	950	26	2.73%	23.2%	0.63%	3.11% RC
238320	Painting and Wall Covering Contractors	2,315	57	2.46%	36.2%	0.89%	
541618	Other Consultant Services	298	18	6.04%	4.4%	0.26%	
518210	Data Processing	675	3	0.44%	0.6%	0.26%	
238110	Poured Concrete	415	19	4.57%	13.7%	0.62%	
339950	Sign Manufacturing	246	5	2.03%	0.1%	0.20%	
238210	Electrical Contractor	3,788	40	0.50%	0.5%	0.25%	0.85% RN
811121	Automotive Body, Paint & Interior Repair - Maint.	1,412	1	0.07%	1.5%	0.01%	
238220	Plumbing, Heating and HVAC Services	4,958	28	0.56%	0.2%	0.01%	
561612	Security and Patrol Services	602	33	5.48%	1.0%	0.71%	
524291	Claims Adjusters	199	0	0.00%	5.0%	0.00%	
561720	Janitorial Services	2,941	22	0.75%	13.6%	0.12%	
	Totals	18,799	252			3.96%	

Final Step One Base Figure = 3.96%

Step Two – Adjustment to Base Figure

The following were considered as potential measures to adjust the base figure:

Past Participation – Over the past several years DPW&T’s DBE participation rate has been very low. The primary reason for this is related to a change in project funding which occurred after the 2013 goal was calculated. The proposed FTA funded projects which had been used to calculate the 2013 goal (3.46%) were not funded with FTA funds. They were progressed with other funding sources. Therefore, minimal FTA DBE participation was realized.

To address this issue adjustments to the DBE program are currently being pursued as outlined in the Conciliation Agreement with the FTA dated May 27, 2015. To this end, it is not appropriate to adjust the goal based on past participation.

Disparity Study – DPW&T has not conduct a disparity study as part of the goal setting process. No other recent or relevant disparity studies were identified within the DBE market area.

There is no other evidence available suggesting that the base figure should be adjusted.

Step Three - Race Conscience Vs Race Neutral Split

Because only a small number of projects will be FTA funded and because Westchester has had a shortfall in meeting its DBE goal in the past, the four projects bid by the County (Reconstruct Bus Rebuild Floor, Clean and Paint the Ceiling - Central Maintenance Facility, Passenger Survey and TransCenter improvements) will be race conscious contracts. The service contracts/agreements administered by Liberty Lines will be race neutral. By adding up the weighted percentages for these types of projects which are noted in the last column in the above chart the race neutral (RN) race conscious (RC) split will be as follows:

Race Conscious:	3.11%
<u>Race Neutral:</u>	<u>0.85%</u>
TOTAL	3.96%
